

Charter of Academic Committee of Shenzhen Institute of Advanced Technology, Chinese Academy of Sciences

(Revised in 2022)

Chapter 1 General

Article 1 To implement the strategy of "running the institute with democracy and opening, and strengthen the institute by talents", and strive to build the Shenzhen Institute of Advanced Technology, Chinese Academy of Sciences (SIAT) into an international first-class industrial research institute, according to the *Charter of Chinese Academy of Sciences* and the *Comprehensive Management Regulations of Chinese Academy of Sciences on Institutes*, the Charter is hereby formulated.

Article 2 The Academic Committee is the organization responsible for academic review, consultation, management and supervision, directly reporting to Director.

Article 3 The Academic Committee is committed to promoting academic democracy, carrying out academic exchanges, carrying forward academic ethics, upholding academic openness and fairness, improving the level of talent training and scientific research, and advancing the development of scientific research.

Chapter 2 Constitution

Article 4 The members of the Academic Committee must have a researcher, professor or other corresponding title, with a global vision and duty performance ability, being eager to review and evaluate scientific research and other academic work organized by the Academic Committee.

Article 5 In principle, the number of members of the Academic Committee should not exceed one fifth of the total number of researchers, professors or other personnel with corresponding titles, and it typically is an odd number not less than 15 and not more than 35. The representatives should from each main research field and direction in the SIAT, with an appropriate ratio among elder, middle-aged, and young scientific and technological experts. Members of the Institute Administrative Affairs Meeting should not exceed one quarter of the total in principle. The Academic Committee consists of 1 chairman, 1 to 2 vice-chairmen and 1 to 2 secretaries.

Article 6 In principle, the members of the Academic Committee implement tenure system in principle, which is generally synchronized with the administrative leadership team, and no more than two consecutive terms in principle. Members of the Academic Committee should be elected by all researchers, reviewed and determined by the Director Office Meeting, and appointed by the director.

Article 7 The head and the deputy head of the Academic Committee should be nominated by the director from the members and determined by the Director Office Meeting. The head should generally serve no more than two consecutive terms.

Article 8 In principle, every time the Academic Committee is reelected, the number of re-elected members should not be less than 1/3 (inclusive) and not more than 2/3 (inclusive) of the total number of members.

Article 9 The members of the Academic Committee may be added 1 time a year, and new members should be appointed as per Articles 5 and 6. The appointment term of new members is synchronized with that of current members of the Academic Committee.

Article 10 In the case of following circumstances, a member of the Academic Committee may be removed or agreed to resign as a member after being reviewed and approved by the Director Office Meeting:

(1) The member himself/herself has applied in writing to resign as a member and has been approved by the head;

(2) He/she cannot continuously serve as the member due to work adjustment;

(3) Absences from Academic Committee meetings without causes for consecutive three times;

(4) Violate related regulations of the Charter.

Vacancies in the Committee arising from the above reasons may be filled as per Articles 5 and 6. The term of the new member should be the same as the remaining term of the original member.

Article 11 According to practical needs, the Academic Committee may employ high-level experts outside the SIAT as special members for specific academic matters. The appointee should be nominated by the head of the Academic Committee or more than 1/3 of the members of the Academic Committee, and agreed by the Academic Committee.

Chapter 3 Main Responsibilities and Working System

Article 12 Members of the Academic Committee enjoy the rights stipulated by the State and the competent departments, and perform the corresponding obligations and responsibilities, in particular the following matters:

(1) Propose consultation and advice on the development strategy, major scientific research deployments, and discipline construction of the SIAT;

(2) Propose evaluation opinions on the technology team development strategy and evaluation system, scientific research team construction of SIAT, and the current international level of the team;

(3) Propose evaluation opinions on scientific layout and the establishment and cancellation of research units;

(4) Propose opinions and suggestions on academic atmosphere construction, and proposes handling suggestions for events violating scientific research ethics and academic misconduct.

(5) Establish relevant special committees or advisory committees according to the needs of the work, and manage the work duties, rules and regulations, and work processes of the special committees or advisory committees.

(6) Undertake other related work assigned by the Director Office Meeting.

Article 13 The Academic Committee implements regular meeting system to hold the plenary meeting at least once semiannually. An interim meeting may be convened on the proposal of the head or more than 1/3 of the members. The plenary meeting of the Academic Committee is convened and held by the head who can entrust the deputy head to hold the meeting on behalf of him/her in case of his/her absence due to some reasons.

Article 14 The plenary meeting of the Academic Committee should determine the agenda in advance and inform the members participating in the meeting. Subject to the consent of more than 1/3 of the members attending the meeting, additional agenda may be added temporarily.

Article 15 When the Academic Committee needs to take a vote, the number of members present must exceed $\frac{2}{3}$ of the total number of members. Voting is conducted by secret ballot. General matters must be approved by more than one-half of the members attending the meeting. Major matters must be approved by more than two-thirds (inclusive) of the members present at the meeting, and should be agreed by the head of the Academic Committee before voting. A bill passed by vote is signed and confirmed by the head.

Article 16 Members of the Academic Committee should attend the meeting on time. In case of absence due to special reasons, they should ask the head for leave and report it to the Secretariat Department. Members who cannot attend the meeting should not entrust other members to vote on behalf of him/her.

Article 17 According to the agenda of the meeting, the specially appointed members may participate in the discussion of a specific agenda and have the right to vote in the discussion of the agenda, and the number of specially appointed members participating in the voting does not exceed $\frac{1}{3}$ of the total number of votes.

Article 18 The head may invite functional departments, scientific research personnel and students representatives to present as observers. The observers have no right of voting.

Article 19 Members of the Academic Committee should stringently abide by the confidentiality discipline in the work, and the avoidance system to the relevant matters involving themselves, their relatives or their interests.

Article 20 The resolutions of the plenary meeting of the Academic Committee, except for the official minutes of the meeting, should be uniformly brought out by the Director Office Meeting and relevant functional departments.

Article 21 The office expenses of the Academic Committee are included in the budget arrangement of the SIAT.

Chapter 4 Bylaw

Article 22 If the Charter conflicts with the relevant laws and regulations of the State and the relevant regulations of the Chinese Academy of Sciences, the laws and regulations of the State and the relevant regulations of the Chinese Academy of Sciences shall be executed. If the relevant regulations of each institute of the SIAT conflict with the Charter, this Charter shall prevail.

Article 23 This Charter is interpreted by the Director Office Meeting.

Article 24 This Charter is implemented as of the date of promulgation.