

**Document of Shenzhen Institute of
Advanced Technology, Chinese Academy of
Sciences**

SYKZI [2021]No. 13

**Notice of Shenzhen Institute of Advanced Technology,
Chinese Academy of Sciences on Issuing the *Regulations on
Punishment for Misconduct in Scientific Research*, the
*Regulations on Membership in Domestic and International
Organizations*, and the *Regulations on Reimbursement of
Journal Paper Publication Fee***

All units (department) of the SIAT:

To carry forward the scientific spirit, strengthen the construction of scientific research ethics and study style, improve the working mechanism of both prevention and punishment, and create an honest and trustworthy scientific research environment, the *Regulations of Shenzhen Institute of Advanced Technology, Chinese Academy of Sciences on Punishment for Misconduct in scientific Research* are hereby formulated.

In the meantime, to standardize related management, the *Regulations of Shenzhen Institute of Advanced Technology, Chinese Academy of Sciences on Membership in Domestic and International Organizations*, and the *Regulations of Shenzhen Institute of Advanced Technology, Chinese Academy of Sciences on Reimbursement of Journal Paper Publication Fee* have been revised. These three systems were deliberated and approved by the 1st Institute Administrative Affairs Meeting in 2020 and are hereby issued for implementation.

Shenzhen Institute of Advanced
Technology, Chinese Academy of
Sciences

January 21, 2021

Office of Shenzhen Institute of Advanced Technology, Chinese Academy
of Sciences

Issued on January 29, 2021

Regulations of Shenzhen Institute of Advanced Technology, Chinese Academy of Sciences on Reimbursement of Journal Paper Publication Fee

To clarify the direction of scientific and technological papers submitted by scientific and technological personnel of Shenzhen Institute of Advanced Technology, Chinese Academy of Sciences (SIAT), enhance the influence of scientific research achievements of the SIAT in well-known international and domestic academic journals and conferences, expand the awareness of the SIAT, and mobilize the enthusiasm of scientific and technological personnel to publish papers in journals and conferences of higher quality and greater academic influence, the reimbursement of the paper publication fee of the SIAT is hereby stipulated as follows:

1. In principle, the paper publication fee is borne by the scientific research funds of the research unit or the research group of the author, which cannot be reimbursed until the Division of Science & Technology Development makes review and approval.

2. When acknowledging the acceptance of published papers in journals sponsored by the SIAT, international/domestic academic journals, at international/domestic conferences with the first author or corresponding author's first unit to be Shenzhen Institute of Advanced Technology, Chinese Academy of Sciences, the publication fee can be fully reimbursed with the consent of the supervisor, unit and department leader.

3. The publication fee of the joint first author or corresponding author's paper is reimbursed by the first unit.

4. In principle, the publication fee of a paper with non-first author or corresponding author whose first unit is Shenzhen Institute of Advanced Technology, Chinese Academy of Sciences will not be eligible for reimbursement. In special cases, it is necessary to attach an additional cost description signed by the unit chairman and reviewed and approved by the Division of Science & Technology Development to reimburse such publication fee.

5. Article content mainly involves the publicity of individuals or teams (i.e., non-professional technology), the publication fee will not be reimbursed.

6. For non-academic journals, the publication fee will not be reimbursed.

7. Non formal journals, the publication fee will not be reimbursed.

8. If the publication fee of a single paper exceeds 20,000 yuan or is published in a cautionary literature, it will not be reimbursed until it is reviewed by the Office of International Cooperation and Academy of the Department of Science &

Technology Development, and forward to the person in charge of the relevant field of the Academic Committee of the SIAT to review the paper's publication necessity and make approval as the case may be. Cautionary literatures include:

(1) Controversial publication: Scientific Reports, Oncotarget, PLoS One, MEDICINE, IEEE Access; (2) journals suppressed by or culled from web of science (i. e., with academic irregularities such as excessive self-citation or excessive cross-referencing of journals, such information is updated in June every year, and the impact factors of such journals should not be updated); (3) the List of Cautionary International Journals (Trial) released by the *Literature and Information Center of the Chinese Academy of Sciences*.

9. The paper publication fee cannot be reimbursed until the applicant fills out the Form of Paper Publication Fee Reimbursement Approval (attachment 1), acceptance letter and fee description through AOP, and approved by the center, unit, and Division of Science & Technology Development.

10. These Regulations are interpreted by the Department of Science & Technology Development and come into effect as of the date of approval by the Institute Administrative Affairs Meeting.

Attachment 1:

Form of Paper Publication Fee Reimbursement Approval

Information of published paper			
Title			
Name of journal/conference		Author and byline	
The journal was listed by SCI <input type="checkbox"/> EI <input type="checkbox"/> Chinese core journal <input type="checkbox"/>			
Uniform resource location (URL)		Publication fee (currency)	
Filled by		Telephone	
Opinion of supervisor			
Opinion of unit			
Opinion of department			
Opinion of the Department of Science & Technology Development			
Opinion of personnel in charge of related field of the Academic Committee			

Note: 1. Query journals listed in SCI: web of science, core journals are mainly in Science Citation Index Expanded (SCI-EXPANDED)

2. Query journals listed in EI: Compendex in Ei Village