

**Management Measures of Shenzhen Institute of Advanced  
Technology, Chinese Academy of Sciences on Post-subsidy Project  
Funds (Trial)**

**Article 1** To further implement the requirements of the CPC Central Committee, the State Council and the Party Group of the SIAT on deepening the reform of the allocation and management of scientific and technological resources, activating existing resources, improving the performance level of the use of funds, and promoting major achievements and outputs, based on the *Notice of Chinese Academy of Sciences on Trying Partial Post-project Subsidy Support Methods* (KFTC ZI [2014] No. 60) in conjunction with the requirements of the *Notice of Ministry of Finance on Further Improve Execution and Management of Central Government's Budget for Science, Technology, and Education Funds* (CK [2018] No. 96), these Measures are formulated hereby to further improve post-subsidy project fund management.

**Article 2** These Measures apply to the post-subsidy projects with state, Chinese Academy of Sciences, local government special scientific research funds management measures clearly stipulate that the special funds do not fully appropriate before acceptance and complement after acceptance, including but not limited to National Key R&D Program of China, JW Science and technology projects, postdoctoral fund, "Science and Technology Service Network Initiative (STS Initiative)", key deployment project of the SIAT, Hongguang special projects and other projects included in the scope of subsidy support after implementation (research topic).

**Article 3** Research topics of post-subsidy support method are projects with clear output objectives and objective assessment standard, for which a project undertaking unit needs to use its own funds as advance funds to start the project in necessity. The fund sources include the initial funds invested by user units or enterprises through transfer and transformation of scientific and technological achievements by the research group, research topic balance funds; own funds, surplus funds and basic scientific research business expenses for overall use by the SIAT; temporarily idle funds of various national scientific research tasks, etc.

**Article 4** Standardize research topic approval management. For projects that will return advance funds and be supported in the form of subsidies in the future, the scientific research management department should strengthen the research topic approval management in advance, responsible for feasibility demonstration, scientific and reasonable setting of performance targets, the research topic undertaking unit is responsible for the financing of subsidies, and the financial management department is responsible for the whole process of budget preparation and review and budget implementation management. Budget management shall be implemented according to various project management requirements. The "post-subsidy" field should be clearly defined in the project type, and for projects with zero balance, application for return advance funds with post-subsidy should also be submitted to the Office of Special Representative of the Ministry of Finance in Shenzhen.

**Article 5** Strengthen the process management of post-subsidy projects, coupling the funds of post-subsidy projects with the implementation situation, to which returning advance funds with post-subsidy research topic funds may be canceled or terminated according to the implementation situation.

**Article 6** Standardize the fund management of post-subsidy research topics

(1) Strengthen the research topics accounting. Establish a separate accounting account in ARP and AOP systems to perform independent accounting and accurately aggregate costs and expenses strictly in accordance with the research topic code of establishment approval. Post-subsidy research topic can be managed in a "deficit operation" way.

(2) After research topic acceptance, the research topic undertaking unit should be responsible for collecting the post-subsidy fund which should be directly transferred to the actual fund account of the SIAT and accounted by the finance department, of which in case of a financial post-subsidy project of the Chinese Academy of Sciences, the zero balance account of the unit cannot be transferred to the actual fund account of the unit until it is approved by the Shenzhen Supervisory Bureau of the Ministry of Finance of the People's Republic of China.

(3) After the subsidy funds arrive, they can be returned according to the original sources of the advance funds that have been used as research topic fund, the scientific research and management expenses of the SIAT.

**Article 7** The SIAT will strengthen the inspection and supervision of the management and use of post-subsidy funds, it will terminate appropriation, recover part or all of the post-subsidy funds as the case may be if illegal behaviors such as practising fraud, forging achievements, repeatedly applying for project establishment, acquiring false evaluation to swindle post-subsidy fund are found. If a post-subsidy research topic fails to be accepted, the advance funds should be returned by the research topic undertaking unit from its relevant funds.

**Article 8** The post-subsidy project proposed by an outside institute and relevant units depending on the SIAT cannot be applied until the sources of advance fund returned by post-subsidy funds have been determined.

**Article 9** These Measures should be interpreted by the finance department and scientific research management department, and executed as of the date of promulgation; matters not covered in these Measures should be implemented according to national laws, regulations, rules and normative documents, and relevant rules and regulations of the SIAT (Shenzhen Institute of Advanced Technology refers to these Measures for implementation).